? show files; ds; save temp; logoff hold
File 15:ABI/Inform(R) 1971-2006/Sep 11
         (c) 2006 ProQuest Info&Learning
File   9:Business & Industry(R) Jul/1994-2006/Sep 11
         (c) 2006 The Gale Group
File 275:Gale Group Computer DB(TM) 1983-2006/Sep 11
         (c) 2006 The Gale Group
File 621:Gale Group New Prod.Annou.(R) 1985-2006/Sep 11
         (c) 2006 The Gale Group
File 636:Gale Group Newsletter DB(TM) 1987-2006/Sep 11
         (c) 2006 The Gale Group
File 16:Gale Group PROMT(R) 1990-2006/Jun 12
         (c) 2006 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
         (c) 1999 The Gale Group
File 148:Gale Group Trade & Industry DB 1976-2006/Sep 11
         (c)2006 The Gale Group
File 610:Business Wire 1999-2006/Sep 12
         (c) 2006 Business Wire.
File 810:Business Wire 1986-1999/Feb 28
         (c) 1999 Business Wire
File 476:Financial Times Fulltext 1982-2006/Sep 12
         (c) 2006 Financial Times Ltd
File 624:McGraw-Hill Publications 1985-2006/Sep 11
         (c) 2006 McGraw-Hill Co. Inc
File 634:San Jose Mercury Jun 1985-2006/Sep 10
         (c) 2006 San Jose Mercury News
File 20:Dialog Global Reporter 1997-2006/Sep 12
         (c) 2006 Dialog

Set   Items   Description
S1   9145027   (FUTURE OR NEXT OR TIME OR MONTH?? OR YEAR?? OR ANNUAL?? OR
               QUARTER?? OR PERIOD?? OR WEEK?? OR COMING OR EXTENDED OR LAT-
               ER OR SUBSEQUENT??)(7N)(ASSET? ? OR SAVINGS OR PROPERT??? OR -
               EARNINGS OR INCOME OR RESOURCES OR CAPITAL OR MONEY? ? OR MON-
               IES OR DIVIDE
S2   2989493   S1(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR
               CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPEN-
               S??? OR PAY??? OR PAYMENT? ?)
S3   61614   S2(7N)(PREDICT??? OR ANTICIPAT??? OR FORCAST??? OR FORETEL-
               L??? OR INDICAT??? OR JUDG???)
S4   1756821   (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARG-
               E? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? -
               OR PAY??? OR PAYMENT? ?)(7N)(FUTURE? ? OR OPTION? ?)
S5   19554   SMOOTH???(3N)OPERATION
S6   162763   STRIK???(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MON-
               IES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR
               EXPENS??? OR PAY??? OR PAYMENT? ?)
S7   2230   AU=(COOPER, P? OR COOPER, P? OR COOPER, L? OR COOPER L? OR
               MYERS, S? OR MYERS S? OR FORNEY, G? OR FORNEY G? OR SCOTT, L?
               OR SCOTT L?)
S8   129   S7 AND S1
S9   50   S8 AND S2
S10   4   S9 AND S3
S11   3   RD (unique items)
S12   8757   S3(7N)S4
S13   0   S12(7N)S5
S14   2   S12(7N)S6
S15   2   S3(3N)S5
S16   2   S15 NOT (S14 OR S11)

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```
S17        32    S3(7N)S6
S18        24    RD  (unique items)
```

11/3,K/1   (Item 1 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01991147 48890292
Strategic marketing planning for radically new products
 Cooper, Lee G
Journal of Marketing v64n1 PP: 1-16 Jan 2000
ISSN: 0022-2429 JRNL CODE: JMK
WORD COUNT: 9126

 Cooper, Lee G

11/3,K/2   (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00808156 94-57548
A response to Creating a Government that Works Better and Costs Less
 Myers, Sylvester C
Cost Engineering v36n1 PP: 13-14 Jan 1994
ISSN: 0274-9696 JRNL CODE: ACO
WORD COUNT: 1664

 Myers, Sylvester C
...TEXT: WHAT WE DON'T NEED

Consolidating and cutting are absolutely necessary in order to save **money**
and balance the budget in the **future** . As **indicated** by the National
Performance Review, all agencies should be scrutinized for downsizing.

COLLECTING MORE

Giving...

11/3,K/3   (Item 3 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00644770 92-59710
The Information Content of Prices in Derivative Security Markets
 Scott, Louis O.
International Monetary Fund Staff Papers v39n3 PP: 596-625 Sep 1992
ISSN: 0020-8027 JRNL CODE: IMF
WORD COUNT: 9504

 Scott, Louis O.
...TEXT: kind of information is reflected in the prices of derivative
securities?(1) Futures and forward **prices** are **prices** for **future**
delivery of some specified **asset** . Do these **prices** reflect expectations
of **future**   **prices** on the **asset** ? Option **prices** depend on **future**
prices and the potential variability of those **prices** . Do the option
prices reflect expectations of the price and its potential volatility? In
this...

...reflected in futures or forward prices are the same as those reflected in the spot **asset** **prices** . One cannot infer expected **future** spot **prices** from futures and forward **prices** without measuring the relevant risk premia. In Section II, which focuses on option prices, I...
...future spot prices.

I. FUTURES AND FORWARD MARKETS

Prices in futures and forward markets are **prices** for the **future** delivery of an **asset** or a **commodity** . There are active markets in futures contracts on foreign currencies, stock indices, long-term bonds... Ingersoll, and Ross priced futures and forwards by using arbitrage to convert futures and forward **prices** into prices of **assets** ; they then applied a continuous- **time** valuation model.(7) Let B sub t(t+s) be the price of a default...

...that will pay the following cash flow at delivery:

(Equation 6 omitted)

In this continuous- **time** model, the **value** of an **asset** that has a single cash flow is equal ...utility of wealth. The model for the forward price becomes

(Equation 9 omitted)
The bond **price** is known at **time** t and it is also an **asset** **price** :

(Equation 10 omitted)

The model for the futures price is

(Equation 11 omitted)

As was...were cross-sectional regressions. They found that WISDs were better than past standard deviations as **predictors** of **future** volatility. Beckers used at-the- **money** ISDs, WISDs, and Black's volatility estimates, and found that Black's estimates were the...at the Philadelphia exchange since 1983. The implied volatilities have been calculated from at-the- **money** options that have three **months** to expiration. The volatilities are from the Black-Scholes model, modified for foreign currency options...
?

14/3,K/1   (Item 1 from file: 15)
DIALOG(R)File   15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

03118717   1122125661
Comparing option pricing models
Cretien, Paul D
Futures   v35n11   PP: 38-41   Sep 2006
ISSN: 0746-2468   JRNL CODE: CMM
WORD COUNT: 1583

...TEXT: average model of futures and option price relationships. The LLP
regression curve is able to **predict   option   prices** for an array of
strike   prices or **asset   prices** at one **time** .

 Predicted   prices by either Black/Scholes or LLP should be useful
throughout periods of days or weeks...

14/3,K/2   (Item 1 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2006 Financial Times Ltd. All rts. reserv.

0003534486   B06JMBEACIFT
Ferry Strike Prompts Sea Containers To Suspend Dividends
KEVIN BROWN and CHARLER LEADBEATER, Transport Correspondents
Financial Times, P 20
Saturday, October 11, 1986
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT
Word Count: 411

TEXT:
...Containers, the Bermuda-registered parent company of the strike-hit
Sealink UK, is indefinitely suspending **quarterly   dividend   payments** in
 anticipation in part of the **cost** of the **strike** and **future**
redundancy **payments** .

The company, the world's biggest lessor of containerships and associated
equipment, will save about...
?

16/3,K/1   (Item 1 from file: 16)
DIALOG(R)File   16:Gale Group PROMT(R)
(c) 2006 The Gale Group. All rts. reserv.

06437967   Supplier Number: 54989078   (USE FORMAT 7 FOR FULLTEXT)
Well diagnosis/control system cuts beam pumping costs.
McCoy, Jim; Coop, Edwards; Coop, Artie
World Oil, v220, n6, p52
June, 1999
Language: English   Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 1258

...   Power costs are approximately $108 per month, about half of the
earlier level ($203 per **month**). With **smoother   operation** and less
stress on **equipment** , lower maintenance **costs** also are **anticipated** .
Payout for the total well work is expected after about eight months.
      ACKNOWLEDGMENT
      Details of this...

 16/3,K/2   (Item 1 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2006 The Gale Group. All rts. reserv.

11160828   SUPPLIER NUMBER: 54989078   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Well diagnosis/control system cuts beam pumping costs.
McCoy, Jim; Coop, Edwards; Coop, Artie
World Oil, 220, 6, 52
June, 1999
ISSN: 0043-8790   LANGUAGE: English   RECORD TYPE: Fulltext
WORD COUNT: 1347   LINE COUNT: 00106

...   Power costs are approximately $108 per month, about half of the
earlier level ($203 per **month**). With **smoother   operation** and less
stress on **equipment** , lower maintenance **costs** also are **anticipated** .
Payout for the total well work is expected after about eight months.
      ACKNOWLEDGMENT
      Details of this....
?

? t/3,k/all

18/3,K/1   (Item 1 from file: 15)
DIALOG(R)File   15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

03118717   1122125661
Comparing option pricing models
Cretien, Paul D
Futures   v35n11   PP: 38-41   Sep 2006
ISSN: 0746-2468   JRNL CODE: CMM
WORD COUNT: 1583

...TEXT: average model of futures and option price relationships. The LLP
regression curve is able to **predict** option **prices** for an array of
strike **prices** or **asset**   **prices** at one **time** .

 Predicted   **prices** by either Black/Scholes or LLP should be useful
throughout periods of days or weeks...

18/3,K/2   (Item 2 from file: 15)
DIALOG(R)File   15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01325888   99-75284
Reliability-based maintenance requires mill culture change
Wheaton, Rick
Pulp & Paper   v70n7   PP: 53-61   Jul 1996
ISSN: 0033-4081   JRNL CODE: PUP
WORD COUNT: 4102

...TEXT: the problem of initial commitment is to wait until a predictable
catastrophic failure occurs, then **strike** . Arm yourself ahead of **time**
with the **costs** of **equipment** and personnel requirements needed to
initiate a **predictive** effort that eliminates catastrophic failure and
associated costs. Often,

Figure 1 omitted

(GRAPH OMITTED)* one...

18/3,K/3   (Item 3 from file: 15)
DIALOG(R)File   15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00987160   96-36553
When is a tree a hedge?
Mezrich, Joseph J
Financial Analysts Journal   v50n6   PP: 75-81   Nov/Dec 1994
ISSN: 0015-198X   JRNL CODE: FIA
WORD COUNT: 2385

...TEXT: for the index buy-write.

Reading the tree from the top down, the first node **indicates** that always
doing a buy-write loses **money** ; the average incremental **monthly** return

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for a two- **strike** out-of-the- **money** OEX buy-write is -4 basis points a
month. The first decision-tree split is...

18/3,K/4   (Item 4 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00511635 90-37392
Finding the Cheapest Catastrophe Cure
Bean, Nelson
National   Underwriter (Property/Casualty/Employee Benefits) v94n33 PP:
9-10 Aug 13, 1990
ISSN: 0898-8897 JRNL CODE: NUN

...ABSTRACT: the fairest, least expensive claims settlements. Analysis of
projected loss data from an actual catastrophe **indicates** that a
high-speed response can save **money** by minimizing **time** -related business
interruption **costs** . When any major catastrophe **strikes** , there are
always uninsured losses, obvious and hidden, including lost market share,
increased costs following...

18/3,K/5   (Item 1 from file: 9)
DIALOG(R)File   9:Business & Industry(R)
(c) 2006 The Gale Group. All rts. reserv.

01455138 Supplier Number: 24138749   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Santos, other Brazilian ports sizzle
(Main Brazilian port of Santos will have moved around 38 mil tons of cargo
  in 1997, another record year)
Traffic World, v 253, n 1, p 27
January 05, 1998
DOCUMENT TYPE: Journal ISSN: 0041-073X (United States)
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 356

TEXT:
...for more than a week. The major carriers and shippers in the trade all
lost **money** and **time** during that last **strike** -- and they all **predict**
more strikes and other labor problems in the coming year because the ports
are being...

18/3,K/6   (Item 1 from file: 621)
DIALOG(R)File 621:Gale Group New Prod.Annou.(R)
(c) 2006 The Gale Group. All rts. reserv.

04446194   Supplier Number: 138778360 (USE FORMAT 7 FOR FULLTEXT)
Schaeffer's Midday Options Update Features Tyco International, D.R. Horton,
  Daktronics, Boeing, and Network Appliance.
Business Wire, pNA
Nov 16, 2005
Language: English   Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 1469

...   today, with more than 7,600 contracts crossing the tape. Open

interest at this front- **month** out-of-the- **money** **strike** currently stands
at 6,476 contracts, **indicating** that there is a good chance that some of
today's activity could be the...

 18/3,K/7 **(Item 2 from file: 621)**
DIALOG(R)File 621:Gale Group New Prod.Annou.(R)
(c) 2006 The Gale Group. All rts. reserv.

03535954   Supplier Number: 108281330 (USE FORMAT 7 FOR FULLTEXT)
Schaeffer's Market Observation Features Walgreen Co.
Business Wire, p5773
Sept 29, 2003
Language: English   Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 707

...   interest ratio (SOIR) on WAG stood at an annual low of 0.43. This
sentiment **indicator** now stands in the 46th **annual** percentile at 0.66.
The near-the- **money** 30 **strike** is the site of both peak calls and peak
puts in the front-month series...

 18/3,K/8 **(Item 1 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2006 The Gale Group. All rts. reserv.

07896680   SUPPLIER NUMBER: 16952647   (USE FORMAT 7 OR 9 FOR FULL TEXT)
An exposure-based analysis of property-liability insurer stock values
  around Hurricane Andrew.
Lamb, Reinhold P.
Journal of Risk and Insurance, v62, n1, p111(13)
March, 1995
ISSN: 0022-4367   LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 4267   LINE COUNT: 00353

...   2 billion in damage (Honolulu Advertiser, January 22, 1993).
Property-liability insurers exhibited no significant **price** response
around the hurricane **strike** date (Event Date +14), **indicating** that
Iniki did not impact on **property** -liability stock **prices** . The estimation
 period beta for all firms was 0.366. The event period beta for all firms
was...

 18/3,K/9 **(Item 2 from file: 148)**
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2006 The Gale Group. All rts. reserv.

04491894   SUPPLIER NUMBER: 08101124   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Boeing reports 1989 sales, earnings.
PR Newswire, 0129SE004
Jan 29, 1990
LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT
WORD COUNT: 1784   LINE COUNT: 00147

...   resulting in sales being approximately $2 billion less than
projected. The reduced sales, higher than **anticipated** lump-sum wage
payments and **strike** disruption **costs** contributed to a substantial

reduction in fourth **quarter** operating **earnings** and interest **income** .
     In the fourth **quarter** , the company adopted, retroactive to Jan. 1,
1989, the provisions of Statement of Financial Accounting...

   18/3,K/10   (Item 3 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2006 The Gale Group. All rts. reserv.

03914750   SUPPLIER NUMBER: 07575453   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Fleming, after a tough year, expects stronger first quarter.
Zwiebach, Elliot
Supermarket News, v39, n18, p2(2)
May 1, 1989
ISSN: 0039-5803   LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT
WORD COUNT: 892   LINE COUNT: 00073

...   is in line with the company's earlier statement that it might not
match last **year** 's unusually strong **earnings** per share figure in the
first **quarter** because of higher interest **expenses** and **costs**
associated with the Philadelphia **strike** .
     Werries **anticipates** sales for the year will rise about 14% to $12
billion, compared with $10.5...

   18/3,K/11   (Item 1 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2006 Financial Times Ltd. All rts. reserv.

0011707536 A20050821432-46-DFT
FT.com site : For Northwest, it's survival. For the strikers, it's their
   livelihoods.
Kevin Allison
FT.COM SITE
Sunday, August 21, 2005
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT
Word Count: 657

...Steve Gross, a 45-year-old line mechanic who has been with Northwest for
25 **years** , says he has been setting aside **money** for more than a **year**
in **anticipation** of a **strike** .

"I've been saving my **money** as best I can. It's hard with a family. My
wife is a nurse...

   18/3,K/12   (Item 2 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2006 Financial Times Ltd. All rts. reserv.

0011707465 A20050822388-101-FT
COMPANIES INTERNATIONAL: On opposite sides with everything to lose: For
   Northwest, it's survival. For the strikers, it's their livelihoods. Kevin
   Allison reports
KEVIN ALLISON
Financial Times, London Ed1 ED, P 22
Monday, August 22, 2005
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT

Paul Obiniyi   EIC 3600   12-Sep-06

SECTION HEADING: COMPANIES INTERNATIONAL
Word Count: 659

...Steve Gross, a 45-year-old line mechanic who has been with Northwest for
25 **years** , says he has been setting aside **money** for more than a **year**
in **anticipation** of a **strike** .

"I've been saving my **money** as best I can. It's hard with a family. My
wife is a nurse...

  18/3,K/13   (Item 3 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext

0003534486 B06JMBEACIFT
Ferry Strike Prompts Sea Containers To Suspend Dividends
KEVIN BROWN and CHARLER LEADBEATER, Transport Correspondents
Financial Times, P 20
Saturday, October 11, 1986
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH   RECORD TYPE: FULLTEXT
Word Count: 411

TEXT:
...Containers, the Bermuda-registered parent company of the strike-hit
Sealink UK, is indefinitely suspending **quarterly** **dividend** **payments** in
 anticipation in part of the **cost** of the **strike** and future redundancy
payments .

The company, the world's biggest lessor of containerships and associated
equipment, will save about...

  18/3,K/14   (Item 1 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications

0193121
Boeing Fourth Quarter Sales Down Marginally
Aviation Daily, Vol. 299, No. 21, Pg 204
January 30, 1990
JOURNAL CODE: AD
ISSN: 0193-4597
WORD COUNT: 208

TEXT:

... in sales being approximately $2 billion less that projected, Boeing
said.   Reduced sales, higher-than- **anticipated** lump sum wage **payments**
 and   **strike** disruption **costs** contributed to a substantial reduction in
fourth **quarter** operating **earnings** and interest **income** , the company
said.

  18/3,K/15   (Item 2 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications

0184516
Second Fiscal Quarter Seen As Bottom Of Metro Financial Slide
Regional Aviation Weekly, Vol. 4, No. 51, Pg 463
December 22, 1989
JOURNAL CODE: RA
ISSN: 1044-9450
WORD COUNT: 574

TEXT:

... and load factors are expected to climb back to pre-strike levels during the current **quarter** , "but we were losing **money** before the **strike** started." He declined to **predict** when the Atlanta operation would again be profitable. Metro's other Eastern feed operation at...

18/3,K/16   (Item 1 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

50595816 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shiningbank Energy Announces Second Quarter 2006 Financial Results
CCNMATTHEWS
August 04, 2006
JOURNAL CODE: WCCN   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 4210

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   to 4.6% (2005 - 3.8% to 4.2%), volatility of 60%, life of 10 **years** , and a **dividend** yield **rate** of 10% representing the difference between the **anticipated** distribution and the anticipated reduction in the **strike**   **price** . Users are cautioned that the assumptions made are estimates of future events and actual results...

18/3,K/17   (Item 2 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

48816548 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shiningbank Energy Announces First Quarter 2006 Financial Results
CCNMATTHEWS
May 09, 2006
JOURNAL CODE: WCCN   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 3720

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   free interest rates of 4.1% (2005 - 4.2%), volatility of 60%, life of 10 **years** , and a **dividend** yield **rate** of 10% representing the difference between the **anticipated** distribution and the anticipated reduction in the **strike**   **price** . Users are cautioned that the assumptions made are estimates of future events and actual results...

18/3,K/18   (Item 3 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter

Paul Obiniyi   EIC 3600   12-Sep-06

47507450   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shiningbank Energy Announces 2005 Financial Results
CCNMATTHEWS (WAS   (CCN NEWSWIRE))
March 02, 2006
JOURNAL CODE: WCCN   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 9112

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   to 4.2%   (2004 - 4.3% to 4.8%), volatility of 60%, life of 10
 years , and a **dividend** yield **rate** of 10% representing the difference
between the   **anticipated**   distribution and the anticipated reduction in
the   **strike**   **price** . Users are cautioned that the assumptions made are
estimates of future events and actual results...

  18/3,K/19   **(Item 4 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter

45350459   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Talks Break Off in Philly Transit Strike
MICHAEL RUBINKAM
AP ONLINE
November 02, 2005
JOURNAL CODE: WAPO   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 385

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   it's unfair."
    Bus driver Bob Horn said he and other longtime employees began saving
 money   months ago in **anticipation** of a **strike** . He said he has enough
cash to get through Christmas.
    "After 31 years of service...

  18/3,K/20   **(Item 5 from file: 20)**
DIALOG(R)File 20:Dialog Global Reporter

44091444   (USE FORMAT 7 OR 9 FOR FULLTEXT)
On opposite sides with everything to lose:
 SECTION TITLE: COMPANIES INTERNATIONAL
FINANCIAL TIMES , London Ed1 20050822L122.013 ed, p22
August 22, 2005
JOURNAL CODE: FFT   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 647

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   Steve Gross, a 45-year-old line mechanic who has been with
Northwest   for 25 **years** , says he has been setting aside **money** for more
than a **year** in **anticipation** of a **strike** .
    "I've been saving my **money** as best I can. It's hard with a family.
My wife is a nurse...

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18/3,K/21   (Item 6 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

43337151   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Hurricane Dennis is Close; - Part 2
 SECTION TITLE: News; International
Lucia Newman, Greg Hunter, Zain Verjee, Fredricka Whitfield, Rob Marciano,
Kathleen Koch, Jeff Weinsier
CNN NEWS
July 09, 2005
JOURNAL CODE: WCNN   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 3506

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   to number one.
    But first, here's Jack Cafferty with a preview of "In the **Money** ."
    JACK CAFFERTY, HOST, "In the   **Money** ": Thanks.
     Coming   up   on "In the **Money** :" Reacting to a terrorist **strike** .
Find out if the world' markets are learning to take these attacks in
stride. Plus...

... Mexico. Most of the northern Gulf Coast remains under a hurricane watch
or warning. Forecasters **predict** that Dennis may make landfall tomorrow,
likely between Pensacola and Mobile, Alabama.
    Stay with CNN...

... Atlanta. Rob Marciano will have another update at the bottom of the
hour. Right now, **time** for "In the **Money** ."
    TO ORDER A VIDEO OF THIS TRANSCRIPT, PLEASE CALL 800-CNN-NEWS OR USE
OUR...

 18/3,K/22   (Item 7 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

43034222   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Gerrard reaches Liverpool D-Day
PAUL JOYCE
EXPRESS
June 22, 2005
JOURNAL CODE: FDE   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 528

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   week.
    Michael Owen's departure last August came after Benitez showed
reluctance to sanction a **money** -spinning new deal for the **striker** , so
 next   week will provide an instant **indication** of what lengths Liverpool
will go to keep Gerrard.
    He proved he is not motivated...

18/3,K/23   (Item 8 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

41008801   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shiningbank Energy Announces 2004 Financial Results
CCN NEWSWIRE
March 04, 2005
JOURNAL CODE: WCCN   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 8480

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   to 4.82%   (2003 - 4.16 to 4.76%), volatility of 60%, life of 10
years   , and a **dividend** yield **rate** of 10% representing the difference
between the **anticipated**   distribution and the anticipated drop in the
strike   price .
     For rights issued in 2002, Shiningbank has elected to disclose the pro
forma effect as...

18/3,K/24   (Item 9 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

04885166   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Steelworkers Elated Outside Paralyzed Virginia Shipyard
Mark Di Vincenzo and Laurie Koch Thrower
KRTBN KNIGHT-RIDDER TRIBUNE BUSINESS NEWS (DAILY PRESS - NEWPORT NEWS,
VIRGINIA)
April 06, 1999
JOURNAL CODE: KDPN   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 924

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

...   of those on strike, they said they have families, and they have
been saving extra **money** for **months** in **anticipation** of this **strike** .
They noted that with three aircraft carriers in the yard and one on the way
...
?

```
? show files; ds; save temp; logoff hold
File   35:Dissertation Abs Online 1861-2006/Aug
          (c) 2006 ProQuest Info&Learning
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
          (c) 2002 The Gale Group
File   65:Inside Conferences 1993-2006/Sep 11
          (c) 2006 BLDSC all rts. reserv.
File    2:INSPEC 1898-2006/Sep W1
          (c) 2006 Institution of Electrical Engineers
File 144:Pascal 1973-2006/Aug W3
          (c) 2006 INIST/CNRS
File 474:New York Times Abs 1969-2006/Sep 11
          (c) 2006 The New York Times
File 475:Wall Street Journal Abs 1973-2006/Sep 11
          (c) 2006 The New York Times
File   99:Wilson Appl. Sci & Tech Abs 1983-2006/Jul
          (c) 2006 The HW Wilson Co.


Set      Items    Description
S1      273330    (FUTURE OR NEXT OR TIME OR MONTH?? OR YEAR?? OR ANNUAL?? OR
                  QUARTER?? OR PERIOD?? OR WEEK?? OR COMING OR EXTENDED OR LAT-
                  ER OR SUBSEQUENT??)(7N)(ASSET? ? OR SAVINGS OR PROPERT??? OR -
                  EARNINGS OR INCOME OR RESOURCES OR CAPITAL OR MONEY? ? OR MON-
                  IES OR DIVIDE
S2       44052    S1(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR
                  CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPEN-
                  S??? OR PAY??? OR PAYMENT? ?)
S3         958    S2(7N)(PREDICT??? OR ANTICIPAT??? OR FORCAST??? OR FORETEL-
                  L??? OR INDICAT??? OR JUDG???)
S4       49771    (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARG-
                  E? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? -
                  OR PAY??? OR PAYMENT? ?)(7N)(FUTURE? ? OR  OPTION? ?)
S5        1350    SMOOTH???(3N)OPERATION
S6        6602    STRIK???(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MON-
                  IES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR
                  EXPENS??? OR PAY??? OR PAYMENT? ?)
S7        9454    AU=(COOPER, P? OR COOPER, P? OR COOPER, L? OR COOPER L? OR
                  MYERS, S? OR MYERS S? OR FORNEY, G? OR FORNEY G? OR SCOTT, L?
                  OR SCOTT L?)
S8           0    S7 AND S3
S9          29    S7 AND S1
S10          4    S9 AND S2
S11          4    RD   (unique items)
S12        111    S3 AND S4
S13          0    S12 AND S5
S14          0    S13 AND S6
S15          1    S3 AND S5
S16          0    S3 AND S6
S17        421    PREDICT???(7N)ASSET? ?
S18        112    S17(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR
                  CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPE-
                  NS??? OR PAY??? OR PAYMENT? ?)
S19        108    RD   (unique items)
S20         71    S19 NOT PY>2000
S21         15    S20  AND (OPTION? ? OR FUTURE? ?)
```

11/3,K/1   (Item 1 from file: 35)

1038168   ORDER NO: AAD88-23312
THE PROFESSIONAL DEVELOPMENT OF PRINCIPALS: THE PRINCIPAL'S PERSPECTIVE
  Author: **COOPER, LAURA ANN**
  Degree: ED.D.
  Year: 1988
  Corporate Source/Institution: HARVARD UNIVERSITY (0084)
  Source: VOLUME 49/11-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
        PAGE 3214.   253 PAGES

  Author: **COOPER, LAURA ANN**

     ...reduced because these incentives were frequently outweighed by
negative disincentives, such as loss of personal **time** and **money** or
reduction of role efficiency. (4) Principals valued on-the-job learning
experiences--such as...

 11/3,K/2   (Item 1 from file: 2)

01215576   INSPEC Abstract Number: C71003047
 Title: An on-board recorder for diesel locomotive maintenance
  Author(s): **Scott, L.H., Jr.**
  Journal: Rail International   no.7-8   p.549-52
  Publication Date: July 1970 Country of Publication: Belgium
  CODEN: RAIIAF ISSN: 0020-8442
  Language: English
  Subfile: C

  Author(s): **Scott, L.H., Jr.**
  ...Abstract: is expected that this system will provide better utilization
of motive power by reducing shopping **time** , with consequent **savings** in
maintenance and investment **costs** .

 11/3,K/3   (Item 1 from file: 474)

07424485   NYT Sequence Number: 067725960425
GIULIANI'S DEAL WITH M.T.A. IS CRITICIZED BY FISCAL MONITORS
 Myers, Steven Lee
New York Times, Col. 5, Pg. 3, Sec. B
Thursday April 25 1996

 Myers, Steven Lee

ABSTRACT:
     ...over four years and receive immediate cash payment from Metropolitan
Transportation Authority; Giuliani agrees to **pay** Transit Authority $500
million during **next** four **years** , using **money** city would raise by
issuing bonds; MTA agrees to give city $250 million this **year** and **next** ;
Giuliani and his aides say **money** will be used to close $2 billion gap in

15/3,K/1   (Item 1 from file: 99)
DIALOG(R)File 99:Wilson Appl. Sci & Tech Abs
(c) 2006 The HW Wilson Co. All rts. reserv.

2962644 H.W. WILSON RECORD NUMBER: BAST99034892
Well diagnosis/control system cuts beam pumping costs
McCoy, Jim; Edwards, Rory; Coop, Artie
World Oil v. 220 no6 (June 1999) p. 52-4 ISSN: 0043-8790

...ABSTRACT: As a result, power costs have fallen from $203 per month to around $108 per **month** . With **smoother   operation** and less emphasis on **equipment** , lower maintenance **expenses** are also **anticipated** . **Payout** for the entire well work is expected after approximately eight months.
?

21/3,K/1   (Item 1 from file: 35)

01794697 ORDER NO: AADAA-I9933974
LIQUIDITY RISK AND PREDICTABILITY OF FINANCIAL MARKETS: THEORY AND EVIDENCE
   Author: WU, QIANLI
   Degree: PH.D.
   Year: 2000
   Corporate Source/Institution: BOSTON UNIVERSITY (0017)
   Source: VOLUME 60/06-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
             PAGE 2159. 106 PAGES

     ...is correlated with risk-related macroeconomic variables. Evidence
is then provided that discounts can help **predict   future** share **price**
returns and net **asset   value** returns.
     Chapter four explores further the predictability of price returns.
Predictability is both statistically and...

21/3,K/2   (Item 2 from file: 35)

01649211 ORDER NO: AAD98-36992
**FOREST PRODUCTS COMPANY SYSTEMATIC RISK REDUCTION THROUGH DIVERSIFICATION
IN TIMBERLAND**
   Author: VISE, GUY THOMPSON, III
   Degree: PH.D.
   Year: 1998
   Corporate Source/Institution: UNIVERSITY OF GEORGIA (0077)
   Source: VOLUME 59/06-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
             PAGE 2129. 113 PAGES

     ...terms of variability in its manufacturing returns and timberland
returns. Minimizing financial risk (variation in **future** returns) is
examined based on both existing timberland assets and potential
(return-maximized) timberland assets...

...companies in the study. The task remains to find a model better than the
Capital **Asset   Pricing** Model for **predicting** expected return of FPCs.

21/3,K/3   (Item 3 from file: 35)

01621454 ORDER NO: AAD98-18624
**ESSAYS IN MACROECONOMICS (UNCERTAINTY, MONETARY POLICY, INVESTMENT,
EFFICIENCY)**
   Author: CAGLAYAN, MUSTAFA
   Degree: PH.D.
   Year: 1997
   Corporate Source/Institution: BOSTON COLLEGE (0016)
   Source: VOLUME 58/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
             PAGE 4734. 78 PAGES

     ...the Istanbul Bourse do not seem to consistently reap abnormal

profits by being able to **predict future prices** . Although **asset prices** seem to move together in the long run, the use of ECM fails to improve...

21/3,K/4   (Item 4 from file: 35)
DIALOG(R)File   35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01564829   ORDER NO: AAD97-23106
ESSAYS ON MARKET MICROSTRUCTURE AND OPTIONS **(LIQUIDITY, ASSET PRICING)**
   Author: MAYHEW, STEWART JAMES
   Degree: PH.D.
   Year: 1996
   Corporate Source/Institution: UNIVERSITY OF CALIFORNIA, BERKELEY (0028)
   Source: VOLUME 58/02-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
            PAGE 538. 75 PAGES

ESSAYS ON MARKET MICROSTRUCTURE AND OPTIONS **(LIQUIDITY, ASSET PRICING)**

     Asset pricing models, including **option** pricing models, typically generate formulas that describe a security's value in a frictionless market ...

...three essays presented in this thesis are related to three different reasons why observed market **prices** might differ from the **prices predicted** by **asset pricing** models: liquidity premia, parameter uncertainty, and monitoring costs.
     The first essay presents a new theoretical...
...second essay addresses the issue of parameter uncertainty, in the context of the Black-Scholes **option** pricing model. It investigates the extent to which interest rate and dividend parameters may be inferred from the market prices of **options** when there are transactions costs in the **option** market. It also investigates the extent to which error in estimating the dividend yield affects...

...dividend or interest rate parameters affects implied volatility estimates. Methodological implications for empirical research on **option** pricing models are suggested.
     The third essay addresses the impact on American **option** values of costly monitoring for early exercise. This is accomplished by examining the speed of convergence of Bermudan **options** (**options** that can be exercised only on certain dates prior to maturity) to the American **option** price as more and more early exercise dates are added. Some effort is also made...

21/3,K/5   (Item 5 from file: 35)
DIALOG(R)File   35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01503147   ORDER NO: AAD96-31468
SENTIMENT, SEGMENTATION, AND SECURITY PRICES: THREE ESSAYS IN FINANCE (CHINA)
   Author: DABORA, EMIL MORRIS
   Degree: PH.D.
   Year: 1996
   Corporate Source/Institution: HARVARD UNIVERSITY (0084)
   Source: VOLUME 57/05-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2144. 153 PAGES

The classical finance paradigm **predicts** that an **assets** **value** is solely measured by the present discounted value of its **future** cash flows. This means that neither location of trade, citizenship of owner, nor past price...

21/3,K/6 **(Item 6 from file: 35)**
DIALOG(R)File 35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01489912 ORDER NO: AADAA-I9623109
THE DEBT EQUIVALENCY OF NUCLEAR DECOMMISSIONING COSTS IN THE INVESTOR OWNED UTILITY INDUSTRY
   Author: MCKIE, ANITA R.
   Degree: PH.D.
   Year: 1996
   Corporate Source/Institution: UNIVERSITY OF SOUTH CAROLINA (0202)
   Source: VOLUME 57/03-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
           PAGE 1212. 78 PAGES

      ...value of equity model.
   The examination of bond risk premiums is based on the Merton **option** pricing model and predicts that bond risk premiums are affected by the risk free rate...

...common stock of the sample firms.
   The market value of equity model predicts that stock **prices** reflect the values of the firms' underlying **assets** and liabilities. More precisely, it **predicts** that in a regression of the market **value** of equity on the assets and liabilities of the firm, the coefficients on assets and...

21/3,K/7 **(Item 7 from file: 35)**
DIALOG(R)File 35:Dissertation Abs Online
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01472854 ORDER NO: AADAA-I9612205
A RE-EXAMINATION OF THE BOOK TO MARKET EFFECT IN STOCK RETURNS (BOOK TO MARKET RATIO)
   Author: KADIYALA, PADMAJA
   Degree: PH.D.
   Year: 1995
   Corporate Source/Institution: THE OHIO STATE UNIVERSITY (0168)
   Source: VOLUME 56/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
           PAGE 4848. 127 PAGES

      ...shows that these growth opportunities serve to reduce a firm's sensitivity to unanticipated interest **rate** changes. Merton's (1973) intertemporal **asset** **pricing** model then **predicts** that such firms earn lower ex-ante returns.
   The model's major testable hypotheses are...

...B/M effect can be explained by cross-sectional differences in the proportion of growth **options** in firm value.
   The first hypothesis is tested by imposing the restriction that the

coefficients...

21/3,K/8   **(Item 8 from file: 35)**
DIALOG(R)File   35:Dissertation Abs Online
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01399299   ORDER NO: AAD95-07482
INTERPRETING EVIDENCE OF PREDICTABLE VARIATION IN STOCK AND BOND RETURNS
(STOCKS, BONDS, ASSET PRICING)
  Author: KIRBY, CHRISTOPHER MILES
  Degree: PH.D.
  Year: 1994
  Corporate Source/Institution: DUKE UNIVERSITY (0066)
  Source: VOLUME 55/10-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
        PAGE 3273.   91 PAGES

    ...and the equilibrium price of an asset reflects rational
expectations regarding the probability distribution of **future** returns. To
a large extent, the ongoing debate over the efficiency of security markets
focuses...

...predictable variation in asset returns, and a method for testing whether
the observed ability to **predict** returns violates the restrictions imposed
by **asset** **pricing** theory. The dissertation addresses both issues.
  Much of the research on the link between predictability...

21/3,K/9   **(Item 9 from file: 35)**
DIALOG(R)File   35:Dissertation Abs Online
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01399089   ORDER NO: AAD95-06825
ABNORMAL, PERSISTENT PERFORMANCE OF MANAGED PORTFOLIOS, AND WINNER-LOSER
INVESTING STRATEGIES (CONTRARIAN)
  Author: KUO, HSIOUJEN
  Degree: PH.D.
  Year: 1994
  Corporate Source/Institution: UNIVERSITY OF CALIFORNIA, IRVINE (0030)
  Source: VOLUME 55/10-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
        PAGE 3273.   149 PAGES

    ...portfolio residuals measure, computes the difference between
securities' realized returns and their conditional expected returns
predicted by an assumed-efficient **asset** **pricing** model. To achieve
outperformance, portfolio managers should tend to include those securities
that have higher...

...a long-term horizon (fourteen quarters), there is an insignificant
positive relationship between past and **future** performances. Furthermore,
in order to explain the reason why portfolio performance persists over
time, this...

21/3,K/10   **(Item 10 from file: 35)**
DIALOG(R)File   35:Dissertation Abs Online
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01392720 ORDER NO: AAD95-02349
MANAGERIAL INCENTIVES AND INSTITUTIONAL STRUCTURE (CONTRACTING THEORY)
  Author: VASUDEVAN, GOPALA K.
  Degree: PH.D.
  Year: 1994
  Corporate Source/Institution: NEW YORK UNIVERSITY, GRADUATE SCHOOL OF
          BUSINESS ADMINISTRATION (0868)
  Source: VOLUME 55/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
          PAGE 2935. 80 PAGES

      ...rich menu of empirical predictions and is able to explain
previously unexplained phenomena. The model **predicts** that firms which
have lower **cost** of **asset** sales will restructure privately, and firms
which have more valuable investment opportunities will restructure faster
...

      ...in financial distress I examine the relationship between the time spent
in financial distress and **future** firm performance. In an improvement over
previous studies, I explicitly control for the survivorship bias...

 21/3,K/11   (Item 11 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
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01255516 ORDER NO: AAD92-39294
**SOLUTIONS TO PUZZLES IN FINANCE: I. THE EQUITY PREMIUM PUZZLE. II. "EXCESS"
EXCHANGE RATE VOLATILITY. III. THE FORWARD PREMIUM PUZZLE (FINANCIAL
PUZZLES)**
  Author: LEAHY, KELLY MAURA
  Degree: PH.D.
  Year: 1992
  Corporate Source/Institution: THE UNIVERSITY OF TEXAS AT AUSTIN (0227)
  Source: VOLUME 53/08-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
          PAGE 2921. 182 PAGES

      ...financial markets. The equity premium puzzle refers to the failure
of consumption-based models of **asset** **pricing** to accurately **predict**
the excess return on a stock market index over the riskfree rate. In
international markets...

      ...the forward premium puzzle. The difference between the forward price of
foreign currency and the **future** spot varies more than can be explained by
the consumption-based model.
      This dissertation analyzes...

 21/3,K/12   (Item 12 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
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1044134 ORDER NO: AAD89-05828
**STRATEGIC LINKAGES BETWEEN HOSPITALS AND PHYSICIANS: A TRANSACTION COST
ANALYSIS**
  Author: JACOBSON, CAROL KATHLEEN
  Degree: PH.D.
  Year: 1988
  Corporate Source/Institution: UNIVERSITY OF MINNESOTA (0130)

...basis for developing hypotheses about the conditions that determine the choice of governance structure. Transaction **cost** economics **predicts** that a greater degree of **asset** specificity (investment in assets specialized to the transaction) will be associated with a shift away...

...of difficulties in operationalizing asset specificity. Refinements to the survey instrument are suggested, and a **future** research program is outlined that includes these modifications.

21/3,K/13   (Item 13 from file: 35)

0993919 ORDER NO: AAD88-14675
TOP: TUNING AN OPTION **PRICING MODEL USING A KNOWLEDGE-BASED APPROACH**
  Author: KIM, SUNG KUN
  Degree: PH.D
  Year: 1988
  Corporate Source/Institution: NEW YORK UNIVERSITY, GRADUATE SCHOOL OF
        BUSINESS ADMINISTRATION (0868)
  Source: VOLUME 49/05-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
        PAGE 983. 134 PAGES

TOP: TUNING AN OPTION **PRICING MODEL USING A KNOWLEDGE-BASED APPROACH**

...hybrid model--representing a cooperative effort between the financial model and the knowledge-based model-- **predicts** the market **value** of the financial **asset** more accurately. Among many different types of assets, this study will focus on **option** pricing. The result is TOP, a knowledge-based system for Tuning an **Option** Pricing Model. TOP breaks down into three distinguishable components--a specific financial model (the Black-Scholes **option** pricing model), a general problem-solving component which consists of structural pattern construction and explanation...

21/3,K/14   (Item 1 from file: 2)

07048116   INSPEC Abstract Number: C9811-1290D-033
 Title: Heterogeneous beliefs and routes to chaos in a simple asset pricing model
  Author(s): Brock, W.A.; Hommes, C.H.
  Author Affiliation: Dept. of Econ., Wisconsin Univ., Madison, WI, USA
  Journal: Journal of Economic Dynamics and Control Conference Title: J.
Econ. Dyn. Control (Netherlands)   vol.22, no.8-9   p.1235-74
  Publisher: Elsevier,
  Publication Date: Aug. 1998 Country of Publication: Netherlands
  CODEN: JEDCDH ISSN: 0165-1889
  SICI: 0165-1889(199808)22:8/9L.1235:HBRC;1-Q
  Material Identity Number: A637-98006
  U.S. Copyright Clearance Center Code: 0165-1889/98/$19.00
  Conference Title: Second Annual Meeting of the Society for Computational
Economics

...Abstract: discounted value asset pricing model with heterogeneous
beliefs. Agents choose from a finite set of **predictors** of **future**
prices of a risky **asset** and revise their `beliefs' in each period in a
boundedly rational way, according to a...
    ...Identifiers: **future** prices

21/3,K/15   **(Item 1 from file: 144)**

    ... indicate that errors do make prices or trading volume irrational. For
example,   in   markets   for   **assets**   of   uncertain   **value**   a
representativeness-based theory **predicts** deviations of **prices** from
Bayesian predictions. Endowment effects and optimism about relative trading
ability lead to trading volumes which are too low or too high. Forecasts of
 future prices in markets violate rational expectations restrictions. And
subjects do not ignore their own information...
?

File 348:EUROPEAN PATENTS 1978-2006/ 200636
        (c) 2006 European Patent Office
File 349:PCT FULLTEXT 1979-2006/UB=20060907UT=20060831
        (c) 2006 WIPO/Thomson

Set   Items   Description
S1   94895   (FUTURE OR NEXT OR TIME OR MONTH?? OR YEAR?? OR ANNUAL?? OR
                  QUARTER?? OR PERIOD?? OR WEEK?? OR COMING OR EXTENDED OR LAT-
                  ER OR SUBSEQUENT??)(7N)(ASSET? ? OR SAVINGS OR PROPERT??? OR -
                  EARNINGS OR INCOME OR RESOURCES OR CAPITAL OR MONEY? ? OR MON-
                  IES OR DIVIDE
S2   17548   S1(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR
                  CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPEN-
                  S??? OR PAY??? OR PAYMENT? ?)
S3   469   S2(7N)(PREDICT??? OR ANTICIPAT??? OR FORCAST??? OR FORETEL-
                  L??? OR INDICAT??? OR JUDG???)
S4   19226   (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARG-
                  E? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? -
                  OR PAY??? OR PAYMENT? ?)(7N)(FUTURE? ? OR OPTION? ?)
S5   8608   SMOOTH???(3N)OPERATION
S6   2519   STRIK???(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MON-
                  IES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR
                  EXPENS??? OR PAY??? OR PAYMENT? ?)
S7   234   AU=(COOPER, P? OR COOPER, P? OR COOPER, L? OR COOPER L? OR
                  MYERS, S? OR MYERS S? OR FORNEY, G? OR FORNEY G? OR SCOTT, L?
                  OR SCOTT L?)
S8   1   S7 AND S3
S9   51   S3(3N)S4
S10   0   S9(3N)S5
S11   0   S9(3N)S6
S12   0   S3(7N)S6
S13   11   S9 NOT PY>2000

DIALOG(R)File 349:PCT FULLTEXT
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00883983
**GENERATING AND PROVIDING INFORMATION ABOUT EXPECTED FUTURE PRICES OF ASSETS
    AND VISUALIZATION OF ASSET INFORMATION**
**GENERATION ET ENVOI D'INFORMATIONS SUR DES PRIX ATTENDUS DE BIENS ET
    VISUALISATIONS DES INFORMATIONS SUR LES BIENS**
Patent Applicant/Assignee:
  THINKING INVESTMENTS INC, Suite 555, 800 South Street, Waltham, MA 02453,
    US, US (Residence), US (Nationality), (For all designated states
    except: US)
Patent Applicant/Inventor:
  COOPER Philip A, 61 Buckskin Drive, Weston, MA 02493, US, US (Residence),
    US (Nationality), (Designated only for: US)
  COOPER Lisette , 61 Buckskin Drive, Weston, MA 02493, US, US (Residence)
    , US (Nationality), (Designated only for: US)
  MYERS Stuart , 60 Meriam Road, Lexington, MA 02173, US, US (Residence),
    US (Nationality), (Designated only for: US)
  FORNEY G David Jr , 1010 Memorial Drive #3G, Cambridge, MA 02138, US, US
    (Residence), US (Nationality), (Designated only for: US)
  SCOTT Leonard L Jr , 325 Tearose Lane, Charlottesville, MA 22903, US, US
    (Residence), US (Nationality), (Designated only for: US)
  SHECTMAN Benjamin, 72 Abbotsford Road, Noth Plainfield, NJ 07062, US, US
    (Residence), US (Nationality), (Designated only for: US)
  LECLAIR Raymond, 2 Sandas Trail, Acton, MA 01722, US, US (Residence), US
    (Nationality), (Designated only for: US)
  LI Yongxiang, 11-B Hamre Lane, Brandford, CT 06405, CN, CN (Residence),
    CN (Nationality), (Designated only for: US)
Legal Representative:
  FEIGENBAUM David L (agent), Fish & Richardson P.C., 225 Franklin Street,
    Boston, MA 02110-2804, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200217120 A2 20020228 (WO 0217120)
  Application: WO 2001US25753 20010817 (PCT/WO US0125753)
  Priority Application: US 2000641589 20000818; US 2001836484 20010417
Parent Application/Grant:
  Related by Continuation to: US 2001836484 20010417 (CON); US 2000641589
    20000818 (CON)
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
  EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
  LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL
  TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 24138

Patent Applicant/Inventor:
... Designated only for: US)
  COOPER Lisette ...

...Designated only for: US)
MYERS Stuart ...

...Designated only for: US)
FORNEY G David Jr ...

...Designated only for: US)
SCOTT Leonard L Jr ...
Fulltext Availability:
  Detailed Description

Detailed Description
... that option prices for a 20 given underlying asset are indicative of
  the market's **prediction** of the of the risk-neutral **price** of the
  underlying **asset** in the **future** (e.g., at the
  expiration of the option). Option price data may be used to...interactive
  web
  10 page to allow the user 108 to view the market's current **prediction**
  of **future**
  values of portfolios of **assets** . The past market **price** 734 and current
  market price 736 of the asset portfolios 732 are displayed. Also
  displayed...

...GUI 700 includes price-spread displays 750 representing the cumulative
  25 distribution values of the **predicted** **future** **prices** of an **asset**
  over **periods** of **time** . The **price** -spread display 750a shows the
  price distribution data that was generated at a time three months
  earlier. A three-month history...

...of the merit of the price distribution information. The price
  spread display 750b represents the **predicted** cumulative distribution
  values of the **asset** **prices** over a **period** of one **month** into the
  future. The left edge of display 750b, of course, begins at the actual...
?

DIALOG(R)File 348:EUROPEAN PATENTS

00858383
System and method for determination of incremental value at risk for securities trading
System und Verfahren zur Ermittlung inkrementaler Wert-Risiko-Parameter im Wertpapierhandel
Systeme et procede pour determiner la valeur-au-risque incrementale pour des transactions sur des titres
PATENT ASSIGNEE:
  Financial Engineering Associates, Inc., (2259230), 2484 Shattuck Avenue, Suite 225, Berkeley, California 94704, (US), (applicant designated states: AT;BE;CH;DE;ES;FR;GB;IT;LI;LU;NL;SE)
INVENTOR:
  Garman, Mark B., 8 Brookbank Road, Orinda, CA 94563, (US)
LEGAL REPRESENTATIVE:
  Liesegang, Roland, Dr.-Ing. (7741), FORRESTER & BOEHMERT Franz-Joseph-Strasse 38, 80801 Munchen, (DE)
PATENT (CC, No, Kind, Date): EP 790568 A1 970820 (Basic)
APPLICATION (CC, No, Date): EP 97101727 970204;
PRIORITY (CC, No, Date): US 600685 960213
DESIGNATED STATES: AT; BE; CH; DE; ES; FR; GB; IT; LI; LU; NL; SE
INTERNATIONAL PATENT CLASS (V7): G06F-017/60;
LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	9708W3	1698
SPEC A	(English)	9708W3	5154
Total word count - document A			6852
Total word count - document B			0
Total word count - documents A + B			6852

...CLAIMS a is the second set of cashflows;
  b) a return normalization factor equal to the **value** of the **anticipated future**0 returns of the candidate trade;
  c) a **capital** normalization factor equal to an amount of capital required sustain the candidate trade;
  d) a...a is the second set of cashflows;
  b) a return normalization factor equal to the **value** of the **anticipated future** returns of the candidate trade;
  c) a **capital** normalization factor equal to an amount of capital required sustain the candidate trade;
  d) a...

DIALOG(R)File 348:EUROPEAN PATENTS

00548200
Work management computer system.
Rechnersystem fur Arbeitsverwaltung.
Systeme a ordinateur de la gerance de travail.
PATENT ASSIGNEE:
  INTELLINOMICS CORPORATION, (1495130), 1200 Gough Street, San Fransisco, CA 94109, (US), (applicant designated states:

BE;DE;DK;ES;FR;GB;GR;IT;LU;NL;PT)
INVENTOR:
  Long, Jeffrey G., 37 Bret Harte Terrace, San Francisco, CA 94133, (US)
  Fearing, Robert M., 8 Midway, San Francisco, CA 94133, (US)
LEGAL REPRESENTATIVE:
  Rodhain, Claude (18213), Cabinet Claude Rodhain 30, rue la Boetie,
    F-75008 Paris, (FR)
PATENT (CC, No, Kind, Date): EP 514231 A2 921119 (Basic)
APPLICATION (CC, No, Date): EP 92401117 920421;
PRIORITY (CC, No, Date): US 698501 910510
DESIGNATED STATES: BE; DE; DK; ES; FR; GB; GR; IT; LU; NL; PT
INTERNATIONAL PATENT CLASS (V7): G06F-015/21;
ABSTRACT WORD COUNT: 48
LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:
Available Text Language   Update   Word Count
     CLAIMS A (English) EPABF1   3371
     SPEC A   (English) EPABF1   24462
Total word count - document A   27833
Total word count - document B   0
Total word count - documents A + B   27833

...SPECIFICATION their work processes to fit the options provided by the
   package, or (b) invest enormous **time** , effort and **money** in changing
   the software in the package to support the organization's existing work
   processes...

...face the same problem because while they can always support current work
   processes, given enough **time** and **money** , they still cannot **anticipate**
     future work processes

13/3,K/3   (Item 1 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00762441   **Image available**
SYSTEM AND METHOD FOR VALUING PATENTS
SYSTEME ET PROCEDE PERMETTANT DE DETERMINER LA VALEUR DE BREVETS
Patent Applicant/Assignee:
  STOCKPRICEPREDICTOR COM LLC, 2314 South Fern Street, Arlington, VA 22202,
    US, US (Residence), US (Nationality), (For all designated states
    except: US)
Patent Applicant/Inventor:
  GOFFMAN Martin, 3 Dellview Drive, Edison, NJ 08820-2545, US, US
    (Residence), US (Nationality), (Designated only for: US)
  NEIFELD Richard, 2314 South Fern Street, Arlington, VA 22202, US, US
    (Residence), US (Nationality), (Designated only for: US)
Legal Representative:
  NEIFELD Richard, 2314 South Fern Street, Arlington, VA 22202, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200075851 A1 20001214 (WO 0075851)
  Application: WO 2000US6691 20000504 (PCT/WO US0006691)
  Priority Application: US 99137495 19990604; US 99142961 19990712; US
    2000190085 20000320
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES

```
FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT
TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
```
Publication Language: English
Filing Language: English
Fulltext Word Count: 31775

Fulltext Availability:
  Detailed Description

Detailed Description
... earnings.

  A thirteenth object of the invention is to provide a computer implemented
  means for **predicting** the **future** **earnings** , profit margin,
  capitalization, **price** to **earnings** ratio, and stock **price** of an
  entity, based at least in part on the patent portfolio of the entity...

 13/3,K/4   (Item 2 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00757136   **Image available**
**METHODS AND APPARATUS FOR MANAGING INFORMATION RELATING TO SUBJECT MATTER
    OF COMMERCIAL TRANSACTIONS**
**PROCEDES ET APPAREIL POUR GERER DES INFORMATIONS RELATIVES AU DOMAINE DES
    TRANSACTIONS COMMERCIALES**
Patent Applicant/Assignee:
  WORLDSTREET CORPORATION, 465.Medford Street, Boston, MA 02129, US, US
    (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
  KOPIKIS Alexis, 2000 Commonwealth Avenue, Brighton, MA 02135, US, US
    (Residence), -- (Nationality), (Designated only for: US)
  WALBORSKY Paul, 2000 Commonwealth Avenue, Brighton, MA 02135, US, US
    (Residence), -- (Nationality), (Designated only for: US)
  MARCUS David, 170 Puritan Road, Swampscott, MA 01907, US, US (Residence),
    -- (Nationality), (Designated only for: US)
Legal Representative:
  ELBING Kristofer E, 187 Pelham Island Road, Wayland, MA 01778, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200070524 A1 20001123 (WO 0070524)
  Application: WO 2000US13885 20000518 (PCT/WO US0013885)
  Priority Application: US 99313829 19990518
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
```
  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES
  FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
  LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT
  TZ UA UG US UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
```

Publication Language: English
Filing Language: English
Fulltext Word Count: 28297

Fulltext Availability:
  Detailed Description

Detailed Description
... of the share price.

  Yield
  Ex-Date Expiration Date. The last day on which an **option** may be
  exercised.

  Indicated Annual This **value** is the total of the expected **dividend**
  payments over the **Dividend** **next** twelve **months** . It is generally the
  most recent cash **dividend**
  paid or declared multiplied by the dividend **payment** frequency,
  1plus any recurring extra dividends.

  Table 6: Dividends Field Choices
  Field Name Description
  3...

 13/3,K/5   (Item 3 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00736208   **Image available**
DATA PROCESSING SYSTEM FOR INITIATING AND ADMINISTERING FINANCIAL PRODUCTS
SYSTEME DE TRAITEMENT DE DONNEES POUR ENGAGER ET GERER DES PRODUITS
    FINANCIERS
Patent Applicant/Inventor:
  WOOD Jocelyn Tristram Gervais, 82 Merchant's Quay, Salford Quays,
    Manchester M5 2XG, GB, GB (Residence), GB (Nationality)
Legal Representative:
  BROWN Fraser Gregory James (et al) (agent), fJ Cleveland, 40-43 Chancery
    Lane, London WC2A 1JQ, GB,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200049543 A2 20000824 (WO 0049543)
  Application: WO 2000GB596 20000218 (PCT/WO GB0000596)
  Priority Application: GB 993766 19990218
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB
  GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
  MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA
  UG US UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 7133

Fulltext Availability:

Detailed Description

Detailed Description
... in this specific example
   has been extracted from the 1998 Report of The Armed
   Forces **Pay** Review Body) in order to **predict**   **future**   **income**
   (4) to identify how he compares with his peer group. In
   this case the peer...that it is secured
   against the property, that life assurance is taken out
   to the **value** of **future**   **predicted**   **income** repayments at the
   date of death and that early repayment will incur a
   compensating penalty...

 13/3,K/6   (Item 4 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00569532   **Image available**
IMPROVED METHODS FOR PERFORMING RESERVOIR SIMULATION
PROCEDES AMELIORES DE SIMULATION DE RESERVOIR
Patent Applicant/Assignee:
  LANDMARK GRAPHICS CORPORATION,
Inventor(s):
  WATTS James W,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200032905 A2 20000608 (WO 0032905)
  Application: WO 99US28137 19991124 (PCT/WO US9928137)
  Priority Application: US 98109818 19981125; US 99441530 19991116
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB
  GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
  MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA
  UG UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU
  TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG
  CI CM GA GN GW ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 14718

Fulltext Availability:
  Claims

Claim
... solution change after the convergence condition is satisfied, and
   applying the final solution estimate to **predict**   **properties** of
   reservoir fluids at a. **future**   **time**   **value** .

   19 The method of claim 18, further comprising computing second residuals
   of the implicit matrix...

 13/3,K/7   (Item 5 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00544878   **Image available**
AN IMPROVED METHOD FOR BLEACHING PULP

PROCEDE PERFECTIONNE DE BLANCHIMENT DE PATE A PAPIER
Patent Applicant/Assignee:
  ASIA PULP & PAPER CO LTD,
Inventor(s):
  TIBBLING Ture Petter Magnus,
  AGARWAL Arvind Kumar,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200008251 A1 20000217 (WO 0008251)
  Application: WO 98IB1318 19980731 (PCT/WO IB9801318)
  Priority Application: WO 98IB1318 19980731
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM
  HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX
  NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW GH GM
  KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI
  FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD
  TG
Publication Language: English
Fulltext Word Count: 8105

Fulltext Availability:
  Detailed Description

Detailed Description
... chlorine. Moreover, the present process will permit compliance with
  the stricter environmental regulations regarding chlorine **anticipated**
  in the near **future** .

  An analysis of the **savings** in process **costs** **indicates** that the
  present
  process results in a savings of approximately $20 (US) per ton of...

  13/3,K/8   (Item 6 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00524840
SECURITY ANALYST PERFORMANCE TRACKING AND ANALYSIS SYSTEM AND METHOD
SYSTEME ET PROCEDE DE SUIVI ET D'ANALYSE DES PERFORMANCES D'UN ANALYSTE EN
    VALEURS MOBILIERES
Patent Applicant/Assignee:
  STARMINE L L C,
Inventor(s):
  GATTO Joseph G,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 9956192 A2 19991104
  Application: WO 99US8909 19990423 (PCT/WO US9908909)
  Priority Application: US 9882868 19980424
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE
  GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK
  MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU
  ZA ZW GH GM KE LS MW SD SL SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH
  CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW

ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 13268

Fulltext Availability:
  Detailed Description

Detailed Description
... and buy/sell recommendations; and using such performance and other
   information to automatically produce better **predictors** of **future**
   corporate **earnings** or stock- **price** performance.

   Background of the Invention
   There are many individuals who analyze financial data and financial...

 13/3,K/9   (Item 7 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00489764   **Image available**
**METHOD AND SYSTEM FOR CREATING INDEX VALUES SUPPORTING THE SETTLEMENT OF
    RISK TRANSFER CONTRACTS**
**SYSTEME ET PROCEDE DE CREATION DE VALEURS-INDICES D'ASSISTANCE A
    L'ETABLISSEMENT DE CONTRATS DE TRANSFERT DE RISQUES**
Patent Applicant/Assignee:
  INDEXCO LLC,
Inventor(s):
  MAJOR John A,
  THOMAS Bruce B,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 9921116 A1 19990429
  Application: WO 98US12739 19980618   (PCT/WO US9812739)
  Priority Application: US 97955443 19971020
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AU CA CN JP MX AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
Publication Language: English
Fulltext Word Count: 12975
Fulltext Availability:
  Detailed Description

Detailed Description
... the time claim payments are made, insurers
   routinely designate case reserves, which are sums of **money**
   set aside in **anticipation** of **future** **payments** on a claim. An
   alternative embodiment could use, instead of paid losses,
   the sum of...

 13/3,K/10   (Item 8 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00482069   **Image available**
**CONSUMER-BASED SYSTEM AND METHOD FOR MANAGING AND PAYING ELECTRONIC BILLING
    STATEMENTS**

SYSTEME ET PROCEDE DE GESTION ET DE PAIEMENT DE RELEVES DE FACTURATION ELECTRONIQUES BASES SUR LE CONSOMMATEUR
Patent Applicant/Assignee:
  MICROSOFT CORPORATION,
Inventor(s):
  DENT Warren,
  KAETHLER Judy,
  REMINGTON Darren B,
  SALIBA Bassam,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 9913421 A2 19990318
  Application: WO 98US15687 19980728 (PCT/WO US9815687)
  Priority Application: US 97926156 19970909
Designated States:
(Protection type is "patent" unless otherwise stated - for applications prior to 2004)
  CN JP AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
Publication Language: English
Fulltext Word Count: 8110

Fulltext Availability:
  Detailed Description

Detailed Description
... instrument. The cash flow analyzer could be automatically linked to financial advisory services, such as **anticipated** in **future** versions of Microsoft **Money** .

  After the consumer has analyzed the unpaid bills and decided on a payment schedule, the...

 13/3,K/11   (Item 9 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2006 WIPO/Thomson. All rts. reserv.

00424440   **Image available**
METHOD OF PERSONAL FINANCIAL PLANNING
PROCEDE DE PLANIFICATION FINANCIERE PERSONNELLE
Patent Applicant/Assignee:
  THE QUANTUM CONSULTANCY GROUP (PROPRIETARY) LIMITED,
  IDEA INC,
  CORLETT John Broughton,
  CORLETT Peter Garth,
  MAREE Johann Wilhelm,
  MACDOUGALL Basil Hugh,
Inventor(s):
  CORLETT John Broughton,
  CORLETT Peter Garth,
  MAREE Johann Wilhelm,
  MACDOUGALL Basil Hugh,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 9814902 A1 19980409
  Application: WO 97US15358 19970902 (PCT/WO US9715358)
  Priority Application: ZA 967373 19960830
Designated States:
(Protection type is "patent" unless otherwise stated - for applications prior to 2004)
  AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH HU

IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL
PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW GH KE LS MW
SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE
IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG
Publication Language: English
Fulltext Word Count: 7756

Fulltext Availability:
  Detailed Description
  Claims

Detailed Description
... rates, annual inflation rates, rates of appreciation or
   depreciation/increase or decrease of assets, liabilities, **income** and
   2 0 **expenditure** , and **predicted** **future** values thereof.

   The financial model may additionally include data relating to costs
   associated with the...the funding of cash deficits.

   The planning rules database may further include data relating to
   predicted **future** **income** and **expenses** of the subject.

   Preferably, the data relates to priorities set by the subject for
   allocating...whom the model is
   being built, but will typically include the following.

   (a) current and **future** **income** and **expenses** ;
   (b) current and **future** **assets** and liabilities;
   (c) current and **future** assumptions relating to growth rates,
   interest rates, **dividend** rates, etc.;
   (d) known or **anticipated** retirement date; and
   (e) estimated date of death.

   It can be seen that the model...

Claim
... estate duty rates,
   annual inflation rates, rates of appreciation or depreciation/increase
   or decrease of **assets** , liabilities, **income** and **expenditure** , and
   predicted **future** values thereof.
   SUBSTITUTE SHEET (RULE 26)
   - 37
   3 A method according to claim 2 wherein...

...method according to claim 6 wherein the planning rules database
   further includes data relating to **predicted** **future** **income** and
   expenses
   of the subject.

   8 A method according to claim 7 wherein the data relates to...
?

```
? show files; ds; save temp;logoff hold
File 344:Chinese Patents Abs Jan 1985-2006/Jan
          (c) 2006 European Patent Office
File 347:JAPIO Dec 1976-2005/Dec(Updated 060404)
          (c) 2006 JPO & JAPIO
File 350:Derwent WPIX 1963-2006/UD=200657
          (c) 2006 The Thomson Corporation


Set     Items   Description
S1      74969   (FUTURE OR NEXT OR TIME OR MONTH?? OR YEAR?? OR ANNUAL?? OR
                QUARTER?? OR PERIOD?? OR WEEK?? OR COMING OR EXTENDED OR LAT-
                ER OR SUBSEQUENT??)(7N)(ASSET? ? OR SAVINGS OR PROPERT??? OR -
                EARNINGS OR INCOME OR RESOURCES OR CAPITAL OR MONEY? ? OR MON-
                IES OR DIVIDE
S2       9734   S1(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR
                CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPEN-
                S??? OR PAY??? OR PAYMENT? ?)
S3        266   S2(7N)(PREDICT??? OR ANTICIPAT??? OR FORCAST??? OR FORETEL-
                L??? OR INDICAT??? OR JUDG???)
S4       3539   (FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MONIES OR CHARG-
                E? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR EXPENS??? -
                OR PAY??? OR PAYMENT? ?)(7N)(FUTURE? ? OR  OPTION? ?)
S5      19699   SMOOTH???(3N)OPERATION
S6       1919   STRIK???(7N)(FEE? ? OR PRICE? ? OR PRICING OR MONEY OR MON-
                IES OR CHARGE? ? OR COST? ? OR RATE OR VALUE OR EXPENDITURE OR
                EXPENS??? OR PAY??? OR PAYMENT? ?)
S7        670   AU=(COOPER, P? OR COOPER, P? OR COOPER, L? OR COOPER L? OR
                MYERS, S? OR MYERS S? OR FORNEY, G? OR FORNEY G? OR SCOTT, L?
                OR SCOTT L?)
S8          1   S7 AND S3
S9         27   S3 AND S4
S10         4   S9 NOT PY>2000
S11         0   S3 AND S5
S12         0   S3 AND S6
S13       317   S1 AND S4
S14         0   S13 AND S5
S15        11   S3 AND ASSET? ?
S16         6   S15 AND (FUTURE? ? OR OPTION? ?)
```

DIALOG(R)File 350:Derwent WPIX

0012327502 - Drawing available
WPI ACC NO: 2002-269384/
XRPX Acc No: N2002-209603
**Generating and providing information about expected future prices of assets
and visualization of asset information by deriving from data estimate of
corresponding implied probability distribution of price of asset at future
time**
Patent Assignee: COOPER L (COOP-I); COOPER P (COOP-I); LECLAIR R
 (LECL-I); LI Y (LIYY-I); MYERS S (MYER-I); SHECTMAN B (SHEC-I);
 THINKING INVESTMENTS INC (THIN-N)

Inventor: **COOPER L** ; COOPER P; COOPER P A; **FORNEY G D** ; LECLAIR R; LI Y;
  MYERS S ; **SCOTT L L** ; SHECTMAN B
Patent Family (5 patents, 95 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
WO 2002017120	A2	20020228	WO 2001US25753	A	20010817	200231	B
AU 200183425	A	20020304	AU 200183425	A	20010817	200247	E
US 20020152150	A1	20021017	US 2001836484	A	20010417	200270	E
EP 1309925	A1	20030514	EP 2001962229	A	20010817	200333	E
			WO 2001US25753	A	20010817		
JP 2004519753	W	20040702	WO 2001US25753	A	20010817	200443	E
			JP 2002521744	A	20010817		

Priority Applications (no., kind, date): US 2000641589 A 20000818; US
  2001836484 A 20010417

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002017120	A2	EN	70	15	

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY
  BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID
  IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ
  NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA
  ZW
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
  GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200183425	A	EN			Based on OPI patent WO 2002017120
EP 1309925	A1	EN			PCT Application WO 2001US25753
					Based on OPI patent WO 2002017120

Regional Designated States,Original: AL AT BE CH CY DE DK ES FI FR GB GR
  IE IT LI LT LU LV MC MK NL PT RO SE SI TR

| JP 2004519753 | W | JA | 139 | | PCT Application WO 2001US25753 |
| | | | | | Based on OPI patent   WO 2002017120 |

Inventor: **COOPER L ...**

... **FORNEY G D ...**

... **MYERS S ...**

... **SCOTT L L**

  Alerting Abstract ...ADVANTAGE - Option prices for a given underlying
asset are indicative of the market's **prediction** of the risk-neutral

price of the underlying **asset** in the **future** (e.g., at the expiration of the option). Derives the market's prediction in the...

Original Publication Data by Authority

Inventor name & address:
 COOPER, Philip, A ...

... **COOPER, Lisette ...**

... **MYERS, Stuart ...**

... **FORNEY, G., David, Jr ...**

... **SCOTT, Leonard, L., Jr ...**

... Cooper, Lisette ...

... Cooper, Philip ...

... Myers, Stewart ...

... **COOPER, Philip, A ...**
... **COOPER, Lisette ...**

... **MYERS, Stuart ...**

... **FORNEY, G., David, Jr ...**

... **SCOTT, Leonard, L., Jr**

10/3,K/1   (Item 1 from file: 347)
DIALOG(R)File 347:JAPIO
(c) 2006 JPO & JAPIO. All rts. reserv.

05678204   **Image available**
WORK EVALUATION SYSTEM

PUB. NO.:   09-293004 [JP 9293004 A]
PUBLISHED: November 11, 1997 (19971111)
INVENTOR(s): KUREBAYASHI TOSHIHIKO
              YAMASHITA TETSUO
              YAMAMOTO FUTAO
              OGISO TAKUYA
              TAGAWA YUTAKA
              YAMANAKA TOSHIYA
              GUNJI MEGUMI
              NOBA FUMIAKI
APPLICANT(s): HITACHI LTD [000510] (A Japanese Company or Corporation), JP
              (Japan)
              HITACHI TOHOKU SOFTWARE KK [000000] (A Japanese Company or
              Corporation), JP (Japan)
APPL. NO.:   08-106809 [JP 96106809]
FILED: April 26, 1996 (19960426)

ABSTRACT
... is quickly detected, an accident is prevented, not only reliability is
enhanced but also the **future** usage **rate** of system **resources** is
predicted and a long-term invest ment planning is supported...

10/3,K/2   (Item 2 from file: 347)
DIALOG(R)File 347:JAPIO
(c) 2006 JPO & JAPIO. All rts. reserv.

05331659   **Image available**
AUTOMATIC DESIGNING DEVICE FOR OPTIMUM INSURANCE BASED UPON INDIVIDUAL LIFE
PLAN

PUB. NO.:   08-287159 [JP 8287159 A]
PUBLISHED: November 01, 1996 (19961101)
INVENTOR(s): KITAYAMA MASAKAZU
APPLICANT(s): KIYAPITARU ASETSUTO PLANNING KK [000000] (A Japanese Company
              or Corporation), JP (Japan)
              SONY SEIMEI HOKEN KK [000000] (A Japanese Company or
              Corporation), JP (Japan)
APPL. NO.:   07-108299 [JP 95108299]
FILED: April 07, 1995 (19950407)

ABSTRACT
PURPOSE: To display the best insurance for individuals by calculating
annual income and **expenditure** balance of the individuals from **future**
necessary **expenses** , **future predicted income** , etc., every **year** on
the basis of data based on the life styles of the individuals to be...

...data based upon the life styles of the individuals to be insured, annual
income and **expenditure** balance of the individuals is calculated from
future necessary **expenses** , **future predicted income** , etc., to

hatch a life plan, thereby showing the best insurance for the individuals.

10/3,K/3   (Item 3 from file: 347)
DIALOG(R)File 347:JAPIO
(c) 2006 JPO & JAPIO. All rts. reserv.

05182474   **Image available**
FUND OPERATION SUPPORTING DEVICE FOR AUTOMATIC TRANSACTION DEVICE

PUB. NO.:   08-137974 [JP 8137974 A]
PUBLISHED: May 31, 1996 (19960531)
INVENTOR(s):   MAEDA SHIGERU
               ABE TETSUYA
               NAGASHIMA SHIGEKO
               KONTA KAZUNOBU
APPLICANT(s): TOSHIBA CORP [000307] (A Japanese Company or Corporation), JP
               (Japan)
APPL. NO.:   06-280280 [JP 94280280]
FILED: November 15, 1994 (19941115)

                              ABSTRACT
... on the experience of a clerk in a conventional system and to compress
required fund **money** by **predicting** the required fund **money** in the
 future by using the **time** series data of past transaction information...

10/3,K/4   (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2006 The Thomson Corporation. All rts. reserv.

0010285165
WPI ACC NO: 2000-598448/
XRPX Acc No: N2000-443506
Prepayment type proof label for indicating price **of e.g.** commodity ,
service displays claim to commodity **and** future   price **of** commodity
at back side
Patent Assignee: KIMURA Y (KIMU-I)
Inventor: KIMURA Y
Patent Family (1 patents, 1 countries)
Patent   Application
Number   Kind   Date   Number   Kind   Date   Update
JP 2000247076   A   20000912 JP 1999100456   A   19990303 200057 B

Priority Applications (no., kind, date): JP 1999100456 A 19990303

Patent Details
Number   Kind Lan   Pg Dwg Filing Notes
JP 2000247076   A   JA   2   0

Prepayment type proof label for indicating   price **of e.g.** commodity ,
service displays claim to commodity **and** future   price **of** commodity
at back side
    ...NOVELTY - The label displays a claim to a **commodity** and **future**
price of the **commodity** at a back side. The amount of **money** involved is
 indicated at the front side of the label.

?

07794521   **Image available**
 ASSET MANAGEMENT DEVICE, **ASSET** MANAGEMENT METHOD AND CONSIGNMENT
CONTRACT METHOD FOR **ASSET** MANAGEMENT

PUB. NO.:   2003-288474 [JP 2003288474 A]
PUBLISHED: October 10, 2003 (20031010)
INVENTOR(s): KUBO MAKOTO
              IWAI MASAMI
              KANO YASUHIRO
              INUBUSHI HIROYUKI
              NISHIMURA MARIKO
              UCHIDA YASUSHI
APPLICANT(s): TOSHIBA CORP
APPL. NO.:   2002-089774 [JP 200289774]
FILED: March 27, 2002 (20020327)

 ASSET MANAGEMENT DEVICE, **ASSET** MANAGEMENT METHOD AND CONSIGNMENT
CONTRACT METHOD FOR **ASSET** MANAGEMENT

                           ABSTRACT
... medium and long term profits do not conflict with each other and to
efficiently operate **asset** facilities in an **asset** management device
utilized for the operation management of the **asset** facilities such as
power production facilities and the support.

SOLUTION: Evaluation periods c to k to an from the past c to the **future** n
of **asset** management object facilities are set, the management evaluation
coefficient X of the set evaluation period...

...the sum of the past profits Pi' (i=c to k-1) + the sum of **future**
 predicted profits Pi (i=k to n) + the difference (Vn-Vc) of fixed **asset**
values before and after the evaluation period is calculated from a past
profit and loss result value;and a **future** profit and loss **predicted**
 value in the evaluation **period** and the fixed **asset** values of the
facilities before and after the evaluation period, and management operation
based on it is performed. Thus, even for the **asset** facilities whose
features are that a purchase price is high, a payment period is long...

... term profit reflecting the characteristics of the facilities is
determined and the operation of the **asset** facilities is managed.

0015471858 - Drawing available
WPI ACC NO: 2005-809645/200582
XRPX Acc No: N2005-671338
Corporate enterprise`s value determining method, involves estimating value
 of corporation that represents corporation`s anticipated   future **net
cash flows and distributable** earnings **to be derived from new customers**

Patent Assignee: FICKES S W   (FICK-I)
Inventor: FICKES S W
Patent Family (1 patents, 1 countries)
Patent   Application
Number   Kind   Date   Number   Kind   Date   Update
US 20050262014   A1   20051124   US 2002364328   P   20020315   200582   B
                               US 2003387412   A   20030314

Priority Applications (no., kind, date): US 2002364328 P 20020315; US
  2003387412 A 20030314

Patent Details
Number   Kind Lan   Pg   Dwg   Filing Notes
US 20050262014   A1   EN   43   21   Related to Provisional US 2002364328
Corporate enterprise`s value determining method, involves estimating value
 of corporation that represents corporation`s anticipated   future **net
cash flows and distributable** earnings **to be derived from new customers**
    ...NOVELTY - The method involves estimating a value of a corporation
that represents corporation`s anticipated **future** net cash flows and
distributable earnings to be derived from new customers, based upon
assumptions...

Title Terms.../Index Terms/Additional Words: **FUTURE ;**

Original Publication Data by Authority

Claims:
...by determining a corporations' liquidity or adjusted net worth value
through elimination of balance sheet **assets** representing non-liquid or
non-marketable **assets** ;estimating a Category II Value of the corporation
that represents **future** cash flows or distributable earnings from the
corporation's existing customer base, by making assumptions as to a
likelihood of occurrence of **future** events;estimating a Category III value
of the corporation that represents the corporation's anticipated **future**
net cash flows or distributable earnings to be derived from new customers
which the corporation will likely have in the **future** , with such estimates
being based upon series of assumptions developed based upon historical
financial data...

  16/3,K/3   (Item 2 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2006 The Thomson Corporation. All rts. reserv.

0012686185 - Drawing available
WPI ACC NO: 2002-536869/
XRPX Acc No: N2002-425190
 Asset **trading method involves recalculating density function to find
probability distribution** of future **value of** asset **, based on which
trading decision is made**
Patent Assignee: INT BUSINESS MACHINES CORP   (IBMC)
Inventor: BACHELIS B; SHLAFMAN S
Patent Family (1 patents, 1 countries)
Patent   Application
Number   Kind   Date   Number   Kind   Date   Update
US 20020065755   A1   20020530   US 2000202793   P   20000509   200257   B
                               US 2001768697   A   20010123

Priority Applications (no., kind, date): US 2000202793 P 20000509; US
  2001768697 A 20010123

Patent Details
Number   Kind Lan   Pg Dwg   Filing Notes
US 20020065755 A1   EN   15   6   Related to Provisional US 2000202793
 Asset **trading method involves recalculating density function to find
probability distribution of** future **value of** asset , **based on which
trading decision is made**

   Alerting Abstract ...NOVELTY – A trend **predictive** of a **future** **value**
of an **asset** is determined. A density function **indicating** probability
distribution of the value is obtained based on the determined trend and
predicted variance. The density function is recalculated to find
probability distribution of the **future** value. A trading decision is made,
based on the recalculated density function....Apparatus for trading in
derivative of underlying **asset** ; and Computer software product for use in
trading in derivative of underlying **asset** .
   ...

...USE – For trading in financial derivative of underlying **asset** such as
shares or bonds...

...ADVANTAGE – Expected value and risks of the **assets** are easily obtained
by determining the probability distribution. The density function is
determined accurately with...

...comparison and optimal choice to be made among different instruments,
based on the same underlying **asset** .
   ...

...OF DRAWINGS – The figure shows the flowchart explaining the method for
finding probability distribution of **future** value of **asset** .

Title Terms.../Index Terms/Additional Words: **FUTURE** ;

Original Publication Data by Authority

Original Abstracts:
 A method for trading in a financial derivative of an underlying **asset**
includes determining a trend **predictive** of a **future** **value** of the
asset and a **predicted** variance of the **future** **value** . Responsive to
the trend and the variance, a density function is calculated, which is
indicative of a probability distribution of the value at a first time in
the **future** . Based on the density function at the first time, the density
function is recalculated to...

...first time, and a trading decision is made with regard to the derivative
of the **asset** based on the density function.
Claims:
    b 1 /b . A method for trading in a financial derivative of an
underlying **asset** , comprising: determining a trend **predictive** of a
future **value** of the **asset** and a **predicted** variance of the **future**
value ; responsive to the trend and the variance, calculating a density
function indicative of a probability distribution of the value at a first

time in the **future** ; based on the density function at the first time, recalculating the density function to find...

...the first time; and making a trading decision with regard to the derivative of the **asset** based on the density function.

16/3,K/4   (Item 3 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2006 The Thomson Corporation. All rts. reserv.

0012327502 - Drawing available
WPI ACC NO: 2002-269384/
XRPX Acc No: N2002-209603
Generating and providing information about expected future **prices of assets and visualization of** asset **information by deriving from data estimate of corresponding implied probability distribution of price of** asset **at** future **time**
Patent Assignee: COOPER L (COOP-I); COOPER P (COOP-I); LECLAIR R
   (LECL-I); LI Y (LIYY-I); MYERS S (MYER-I); SHECTMAN B (SHEC-I);
   THINKING INVESTMENTS INC (THIN-N)
Inventor: COOPER L; COOPER P; COOPER P A; FORNEY G D; LECLAIR R; LI Y;
   MYERS S; SCOTT L L; SHECTMAN B
Patent Family (5 patents, 95 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
WO 2002017120	A2	20020228	WO 2001US25753	A	20010817	200231	B
AU 200183425	A	20020304	AU 200183425	A	20010817	200247	E
US 20020152150	A1	20021017	US 2001836484	A	20010417	200270	E
EP 1309925	A1	20030514	EP 2001962229	A	20010817	200333	E
			WO 2001US25753	A	20010817		
JP 2004519753	W	20040702	WO 2001US25753	A	20010817	200443	E
			JP 2002521744	A	20010817		

Priority Applications (no., kind, date): US 2000641589 A 20000818; US
   2001836484 A 20010417

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002017120	A2	EN	70	15	

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY
   BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID
   IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ
   NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA
   ZW
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
   GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200183425	A	EN			Based on OPI patent WO 2002017120
EP 1309925	A1	EN			PCT Application WO 2001US25753
					Based on OPI patent WO 2002017120

Regional Designated States,Original: AL AT BE CH CY DE DK ES FI FR GB GR
   IE IT LI LT LU LV MC MK NL PT RO SE SI TR

| JP 2004519753 | W | JA | 139 | | PCT Application WO 2001US25753 |
| | | | | | Based on OPI patent   WO 2002017120 |

Generating and providing information about expected future **prices of assets and visualization of** asset **information by deriving from data estimate of corresponding implied probability distribution of price of** asset **at** future **time**

Original Titles:
...GENERATING AND PROVIDING INFORMATION ABOUT EXPECTED **FUTURE** PRICES OF **ASSETS** AND VISUALIZATION OF **ASSET** INFORMATION...

...Visualization of **asset** information...

...GENERATING AND PROVIDING INFORMATION ABOUT EXPECTED **FUTURE** PRICES OF **ASSETS** AND VISUALIZATION OF **ASSET** INFORMATION...

Alerting Abstract ...NOVELTY - The method involves receiving data representing current prices of **options** on a given **asset** for deriving from the data an estimate of a corresponding implied probability distribution of the price of the **asset** at a **future** time. Information about the probability distribution is made available within a time frame that is...
...USE - For generating and providing information about expected **future** prices of **assets** , and to visualization of **asset** information...

...ADVANTAGE - **Option** prices for a given underlying **asset** are indicative of the market's **prediction** of the risk-neutral **price** of the underlying **asset** in the **future** (e.g., at the expiration of the **option**). Derives the market's prediction in the form of an implied probability distribution of **future** risk-neutral prices. The implied probability distribution and other information related to it may be...

...whom the information may be useful, such as those considering an investment in the underlying **asset** , or a brokerage firm advising such an investor...

...DESCRIPTION OF DRAWINGS - The drawing shows a graph related to information about expected **future** prices of **assets** according to the present invention.

Title Terms.../Index Terms/Additional Words: **FUTURE** ;

Original Publication Data by Authority

Original Abstracts:
Visualization techniques enable information associated with **assets** to be easily visualized and understood.
Claims:
...arranged around a center of the element, the sectors respectively corresponding to different groups of **assets** , in each of the sectors, displaying an array of visual elements representative of respective **assets** belonging to the group to which the sector corresponds, the visual elements being arrayed with respect to distance from the center in accordance with magnitudes of performance of the **assets** during a recent period.

16/3,K/5 **(Item 4 from file: 350)**
DIALOG(R)File 350:Derwent WPIX
(c) 2006 The Thomson Corporation. All rts. reserv.

0011215994 - Drawing available
WPI ACC NO: 2002-155058/200220

XRPX Acc No: N2002-117849
**Method for supporting financial transaction such as investments of pension
funds and other institutional investors by calculating of cash value of at
least one inflation correction value for coupon value CV in year i**
Patent Assignee: FISZBAJN J R (FISZ-I); SNIJDERS R E (SNIJ-I)
Inventor: FISZBAJN J R; SNIJDERS R E
Patent Family (4 patents, 92 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
WO 2002007033	A2	20020124	WO 2000NL499	A	20000717	200220	B
AU 200060296	A	20020130	AU 200060296	A	20000717	200236	E
			WO 2000NL499	A	20000717		
US 20060116948	A1	20060601	WO 2000NL499	A	20000717	200637	E
			US 2001890987	A	20010827		
			US 2006330781	A	20060112		
US 7099844	B1	20060829	WO 2000NL499	A	20000717	200657	E
			US 2001890987	A	20010827		

Priority Applications (no., kind, date): WO 2000NL499   A 20000717

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002007033	A2	EN	58	16	

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY
   BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN
   IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ
   PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
   GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW

AU 200060296	A	EN			PCT Application WO 2000NL499
					Based on OPI patent WO 2002007033
US 20060116948	A1	EN			Division of application WO 2000NL499
					Division of application US 2001890987
US 7099844	B1	EN			PCT Application WO 2000NL499
					Based on OPI patent WO 2002007033

...value CV in year i is calculated making use of the coupon value CV, the
future index data Ii and the interest rates inti. A purchase price may be
presented to...

Original Publication Data by Authority

Original Abstracts:
...value CV in a year i making use of the coupon value CV and of **future**
index data Ii;(b) calculation of a cash value of the at least one inflation
...

...the coupon value CV in year i making use of the coupon value CV, the
future index data Ii and the interest rates inti; (c) presentation of a
purchase price to...

...value CV in a year i making use of the coupon value CV and of **future**
index data Ii;(b) calculation of a cash value of the at least one inflation
...

...the coupon value CV in year i making use of the coupon value CV, the

future index data Ii and the interest rates inti;(c) presentation of a
purchase price to...
Claims:
...after the computer program product has been loaded on the computer
installation: a) storage of **future** index data Ii, where i=1, 2,. .. , x,.
.. , in the at least one memory, each **future** index Ii being defined as
the **anticipated** factor by which, in a **year** i, **goods** will have become
more **expensive** as a consequence of inflation, compared with a
predetermined start year;b) storage of **future** interest rates inti, where
i=1, 2,. .. , x,. .. , in the at least one memory, each...

...value being a value of money, for which a user wants to be covered
against **future** inflation;d) calculation of at least one **future** annual
inflation value infi, where i=1, 2,. .. , x,. .. , for the coupon value CV
in year i making use of the coupon value CV and of the **future** index data
Ii;e) calculation of a cash value of the at least one **future** annual
inflation value infi for the coupon value CV in year i making use of the
coupon value CV, the **future** index data Ii and the interest rates inti;f)
presentation of a purchase price to the user at which the at least one
future annual inflation value infi for the coupon value CV, or a portion
thereof, can be...

...andg) the program enables the processor to track trading in the at least
one **future** annual inflation value;wherein the at least one **future**
annual inflation value comprises an **asset.**

  16/3,K/6   **(Item 5 from file: 350)**
DIALOG(R)File 350:Derwent WPIX
(c) 2006 The Thomson Corporation. All rts. reserv.

0010863987
WPI ACC NO: 2001-483059/
XRPX Acc No: N2001-357585
**Computerized knowledge capital determination, for company financial
managers and accountants, that enables a company to evaluate its
performance and predict growth**
Patent Assignee: BARUCH L I (BARU-I)
Inventor: BARUCH L I
Patent Family (2 patents, 91 countries)
Patent   Application
Number   Kind   Date   Number   Kind   Date   Update
WO 2001048663   A2   20010705 WO 2000US35273   A   20001227 200152 B
AU 200124565   A   20010709 AU 200124565   A   20001227 200164 E

Priority Applications (no., kind, date): US 1999472505 A 19991227

Patent Details
Number   Kind   Lan   Pg   Dwg   Filing Notes
WO 2001048663   A2   EN   27   5
National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY
    BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN
    IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ
    PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
    GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW
AU 200124565   A   EN   Based on OPI patent   WO 2001048663

...is used to calculate a company's annual normalized earnings from the company's tangible **asset** earnings and financial **asset** earnings. The company's knowledge **asset** earnings are determined by removing the tangible and financial **asset** earnings from the annual normalized earnings. Prediction of **future** knowledge **asset** earnings is extrapolated from the knowledge **asset** earnings using long-term growth prospects. The company's knowledge capital is then determined by applying a **capital** discount **rate** to the **predicted** **future** knowledge **asset** **earnings** .

Original Publication Data by Authority

Original Abstracts:
...and system for determining knowledge capital includes the steps of determining a company's tangible **asset** earnings and financial **asset** earnings, and calculating the company's annual normalized earnings. The company's knowledge **asset** earnings are calculated by removing the tangible and financial **asset** earnings from the annual normalized earnings, the company's **future** knowledge **asset** earnings are predicted by extrapolating from the calculated knowledge **asset** earnings using long-term growth prospects of the company, and the company's knowledge capital is calculated by applying a capital discount rate to the predicted knowledge **asset** earnings...
?